**ANNUAL REPRESENTATIONS AND CERTIFICATIONS**

This form must be completed in its entirety.

|  |
| --- |
| **CERTIFICATIONS** |

### 52.203-11 CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (SEPT 2007)

### Definitions. As used in this provision—“Lobbying contact” has the meaning provided at 2 U.S.C. 1602(8). The terms “agency,” “influencing or attempting to influence,” “officer or employee of an agency,” “person,” “reasonable compensation,” and “regularly employed” are defined in the FAR clause of this solicitation entitled “Limitation on Payments to Influence Certain Federal Transactions” (52.203-12).

### Prohibition. The prohibition and exceptions contained in the FAR clause of this solicitation entitled “Limitation on Payments to Influence Certain Federal Transactions” (52.203-12) are hereby incorporated by reference in this provision.

### Certification. The offeror, by signing its offer, hereby certifies to the best of its knowledge and belief that no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on its behalf in connection with the awarding of this contract.

### Disclosure. If any registrants under the Lobbying Disclosure Act of 1995 have made a lobbying contact on behalf of the offeror with respect to this contract, the offeror shall complete and submit, with its offer, OMB Standard Form LLL, Disclosure of Lobbying Activities, to provide the name of the registrants. The offeror need not report regularly employed officers or employees of the offeror to whom payments of reasonable compensation were made.

### Penalty. Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by 31 U.S.C. 1352.Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure required to be filed or amended by this provision, shall be subject to a civil penalty of not less than $10,000, and not more than $100,000, for each such failure.

### (End of Provision)

**52.203-13 CERTIFICATION REGARDING CONTRACTOR CODE OF BUSINESS ETHICS AND CONDUCT (JUN 2020)**

The Offeror certifies, to the best of its knowledge and belief, that the Offeror:

a) **[** **DOES/** **DOES NOT]** have a written code of business ethics and conduct as required by FAR 52.203-13(b)

b) **[** **DOES/** **DOES NOT]** have a business ethics awareness and compliance program and internal control system as required by FAR 52.203-13(c)

*If the offerer represented that it* ***“Does Not”*** *for either a or b above, check the appropriate exemption box below:*

Is exempt from a and b above because its offer is for an amount less than $6,000,000 or the proposed period of performance is less than 120 days.

Is subject to a above, but exempt from b above because offeror is a small business concern or is offering a commercial item (per FAR 2.101)

(End of provision)

**52.203-14 CERTIFICATION REGARDING DISPLAY OF HOTLINE POSTER(S) (JUN 2020)**

The Offeror certifies, to the best of its knowledge and belief, that the Offeror:

Is in compliance with the requirements set forth in FAR 52.203-14 (Display of Hotline Poster(s)) (JUN 2020)

### Is not required to be in compliance with the requirements set forth in 52.203-14 (Display of Hotline Poster(s)) (OCT 2015) because it is performing no U.S. Government contract or subcontract that has a value in excess of $6,000,000 (excluding contracts or subcontracts for the acquisition of commercial items (as defined in the Federal Acquisition Regulation) and contracts or subcontracts that will be performed entirely outside the United States).

(End of provision)

**52.204-3 TAXPAYER IDENTIFICATION (OCT 1998)**

1. Definitions.
   1. “Common parent”, as used in this provision, means that corporate entity that owns or controls an affiliated group of corporations that files its Federal income tax returns on a consolidated basis, and of which the offeror is a member.
   2. “Taxpayer Identification Number” (TIN), as used in this provision, means the number required by the Internal Revenue Service (IRS) to be used by the offeror in reporting income tax and other returns. The TIN may be either a Social Security Number or an Employer Identification Number.
2. All offerors must submit the information required in paragraphs (d) through (f) of this provision to comply with debt collection requirements of 31 U.S.C. 7701(c) and 3325(d), reporting requirements of 26 U.S.C. 6041, 6041A, and 6050M, and implementing regulations issued by the IRS. If the resulting contract is subject to the payment reporting requirements described in Federal Acquisition Regulation (FAR) 4.904, the failure or refusal by the offeror to furnish the information may result in a 31 percent reduction of payments otherwise due under the contract.
3. The TIN may be used by the Government to collect and report on any delinquent amounts arising out of the offeror’s relationship with the Government (31 U.S.C. 7701(c) (3)). If the resulting contract is subject to the payment reporting requirements described in FAR 4.904, the TIN provided hereunder may be matched with IRS records to verify the accuracy of the offeror's TIN.
4. **Taxpayer Identification Number (TIN)**.

TIN: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

TIN has been applied for.

TIN is not required because: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Offeror is a nonresident alien, foreign corporation, or foreign partnership that does not have income effectively connected with the conduct of a trade or business in the United States and does not have an office or place of business or a fiscal paying agent in the United States.

Offeror is an agency or instrumentality of a Foreign Government

Offeror is an agency or instrumentality of the Federal Government.

1. **Type of organization.**

Sole proprietorship;

Partnership;

Corporate entity (not tax-exempt) incorporated under the laws of the state of \_\_\_

Corporate entity (tax-exempt);

Government entity (Federal, State, or local);

Foreign government;

International organization per 26 CFR 1.6049-4;

Other\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Common parent.**

Offeror is not owned or controlled by a common parent as defined in paragraph (a) of this Provision.

Name and TIN of common parent:

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

TIN: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(End of provision)

**52.204-10 CERTIFICATION REGARDING REPORTING OF EXECUTIVE COMPENSATION (JUN 2020)**

In order to comply with the reporting requirements of FAR 52.204-10 “Executive Compensation and First-Tier Subcontract Awards,” the following data and certifications are required:

1. The offerer, in the previous tax year, **[** **DID /**  **DID NOT]** have a gross income, from all sources, that exceeded $300,000?
2. Complete only if the offeror represented that its gross income “**DID**” exceed $300,000 in paragraph (a) of this provision

The Offerer in their preceding completed fiscal year, **[ DID /  DID NOT]** receive (1) 80 percent or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; and (2) $25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements?

1. Complete only if the offeror represented that it “**DID**” in paragraph (b) of this provision

The public **[****DOES /** **DOES NOT]** have access to information about the compensation of the executives in your business or organization (the legal entity to which this specific SAM record, represented by a DUNS number, belongs) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986?

1. Complete only if the offeror represented that the public “**DOES NOT**” have access to the compensation information in paragraph (c) of this provision

Provide the names and total compensation of the top five officials

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Amount: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Amount: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Amount: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Amount: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Amount: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(End of provision)

**52.204-26 COVERED TELECOMMUNICATIONS EQUIPMENT OR SERVICES (OCT 2020)**

1. Definitions. As used in this provision, “covered telecommunications equipment or services” has the meaning provided in the clause 52.204-25, Prohibition on Contracting for Certain Telecommunications and Video Surveillance Services or Equipment.
2. Procedures. The Offeror shall review the list of excluded parties in the System for Award Management (SAM) (<https://www.sam.gov>) for entities excluded from receiving federal awards for “covered telecommunications equipment or services”.
3. Representation. The Offeror represents that it **[****DOES /** **DOES NOT]** provide covered telecommunications equipment or services as a part of its offered products or services to the Government in the performance of any contract, subcontract, or other contractual instrument.
4. After conducting a reasonable inquiry for purposes of this representation, the offer represents that it **[****DOES /** **DOES NOT]** use covered telecommunications equipment or services, or any equipment system, or service that uses covered telecommunications equipment or services.

(End of provision)

**52.209-2 CERTIFICATION REGARDING PROHIBITION ON CONTRACTING WITH INVERTED DOMESTIC CORPORATIONS (NOV 2015)**

a) The Offeror represents that it **[** **IS /**  **IS NOT]** an inverted domestic corporation.

b) The Offeror represents that it **[** **IS /**  **IS NOT]** a subsidiary of an inverted domestic corporation.

(End of provision)

**52.209-5 CERTIFICATION REGARDING RESPONSIBILITY MATTERS (AUG 2020)**

The Offeror certifies, to the best of its knowledge and belief, that:

* 1. The Offeror and/or any of its Principals-
     1. **[ARE /  ARE NOT]** presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;
     2. **[HAVE / HAVE NOT]** within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, violating Federal criminal tax laws, or receiving stolen property (if offeror checks “have”, the offeror shall also see 52.209-7, if included in this solicitation);
     3. **[ARE /  ARE NOT]** presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in paragraph (a)(1)(i)(B) of this provision.
     4. **[HAVE / HAVE NOT]** within a three-year period preceding this offer, been notified of any delinquent Federal taxes in an amount that exceeds $10,000 for which the liability remains unsatisfied.
  2. The Offeror **[HAS /  HAS NOT]** within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.

(End of provision)

**52.215-6 PLACE OF PERFORMANCE (OCT 1997)**

1. The offeror or respondent, in the performance of any contract resulting from this solicitation

**[DOES /  DOES NOT]** intend to use one or more plants or facilities located at a different address from the address of the offeror or respondent as indicated in this proposal or response to request for information.

1. If the offeror or respondent checks “intends” in paragraph (a) of this provision, it shall insert in the following spaces the required information:

|  |  |
| --- | --- |
| **Place of Performance(Street Address, City, State, County, Zip Code)** | **Name and Address of Owner and Operator of the Plant or Facility if Other Than Offeror or Respondent** |
|  |  |
|  |  |

(End of provision)

**52.222-18 CERTIFICATION REGARDING KNOWLEDGE OF CHILD LABOR FOR LISTED END PRODUCTS (FEB 2001)**

1. Definition.

Forced or indentured child labor means all work or service:

* + - 1. Exacted from any person under the age of 18 under the menace of any penalty for its nonperformance and for which the worker does not offer himself voluntarily; or
      2. Performed by any person under the age of 18 pursuant to a contract the enforcement of which can be accomplished by process or penalties.

1. Listed end products. The following end product(s) being acquired under this solicitation is (are) included in the List of Products Requiring Contractor Certification as to Forced or Indentured Child Labor, identified by their country of origin. There is a reasonable basis to believe that listed end products from the listed countries of origin may have been mined, produced, or manufactured by forced or indentured child labor.

Listed End Product Listed Country of Origin

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Certification. The Government will not make award to an offeror unless the offeror, by checking the appropriate block, certifies to either paragraph (c) (1) or paragraph (c)(2) of this provision.
   * + 1. The offeror will not supply any end product listed in paragraph (b) of this provision that was mined, produced, or manufactured in a corresponding country as listed for that end product.
       2. The offeror may supply an end product listed in paragraph (b) of this provision that was mined, produced, or manufactured in the corresponding country as listed for that product. The offeror certifies that it has made a good faith effort to determine whether forced or indentured child labor was used to mine, produce, or manufacture such end product. On the basis of those efforts, the offeror certifies that it is not aware of any such use of child labor.

(End of provision)

**52.222-22 PREVIOUS CONTRACTS AND COMPLIANCE REPORTS (FEB 1999)**

The offeror represents that --

It **[HAS / HAS NOT]** participated in a previous contract or subcontract subject to the Equal Opportunity clause of this solicitation;

It **[HAS / HAS NOT]** filed all required compliance reports; and Representations indicating submission of required compliance reports, signed by proposed subcontractors, will be obtained before subcontract awards.

(End of provision)

**52.222-25 AFFIRMATIVE ACTION COMPLIANCE (APR 1984)**

The offeror represents that:

1. It **[ HAS developed and has on file/  HAS NOT developed and does not have on file],** at each establishment, affirmative action programs required by the rules and regulations of the Secretary of Labor (41 CFR 60-1 and 60-2), **OR**

(b)  has not previously had contracts subject to the written affirmative action programs requirement of the rules and regulations of the Secretary of Labor.

(End of provision)

**52.222.38 COMPLIANCE WITH VETERANS’ EMPLOYMENT REPORTING REQUIREMENTS (FEB 2016)**

By submission of its offer, the offeror represents that, if it is subject to the reporting requirements of 38 U.S.C. 4212(d) (i.e., if it has any contract containing Federal Acquisition Regulation clause 52.222-37, Employment Reports on Veterans), it has filed the most recent VETS-4212 Report required by that clause.

(End of provision)

**52.222-50 COMBATTING TRAFFICKING IN PERSONS (OCT 2020)**

The Offeror certifies that they

1. **[** **HAVE/**  **HAVE NOT]** implemented a compliance plan to prevent any prohibited activities identified at 52.222-50(b) of this clause and to monitor, detect, and terminate any agent, subcontract or subcontractor employee engaging in prohibited activities; and
2. After having conducted due diligence, either—

(1) To the best of the Supplier’s knowledge and belief, the Offeror and any of its agents, subcontractors, or their agents **[** **HAVE/**  **HAVE NOT]** engaged in any such activities; or

(2) If abuses relating to any of the prohibited activities identified in paragraph (b) of this clause have been found, the Supplier **[** **HAS/**  **HAS NOT]** taken the appropriate remedial and referral actions.

(End of provision)

**52.222-52 EXEMPTION FROM APPLICATION OF THE SERVICE CONTRACT LABOR STANDARDS TO CONTRACTS FOR CERTAIN SERVICES - CERTIFICATION (MAY 2014)**

1. The offeror shall check the following certification:

Certification

The offeror **[ DOES/  DOES NOT]** certify that—

* + - 1. The services under the contract are offered and sold regularly to non-Governmental customers, and are provided by the offeror (or subcontractor in the case of an exempt subcontract) to the general public in substantial quantities in the course of normal business operations;
      2. The contract services are furnished at prices that are, or are based on, established catalog or market prices. An “established catalog price” is a price included in a catalog, price list, schedule, or other form that is regularly maintained by the manufacturer or the offeror, is either published or otherwise available for inspection by customers, and states prices at which sales currently, or were last, made to a significant number of buyers constituting the general public. An “established market price” is a current price, established in the usual course of ordinary and usual trade between buyers and sellers free to bargain, which can be substantiated from sources independent of the manufacturer or offeror;
      3. Each service employee who will perform the services under the contract will spend only a small portion of his or her time (a monthly average of less than 20 percent of the available hours on an annualized basis, or less than 20 percent of available hours during the contract period if the contract period is less than a month) servicing the Government contract; and
      4. The offeror uses the same compensation (wage and fringe benefits) plan for all service employees performing work under the contract as the offeror uses for these employees and for equivalent employees servicing commercial customers.

1. Certification by the offeror as to its compliance with respect to the contract also constitutes its certification as to compliance by its subcontractor if it subcontracts out the exempt services. If the offeror certifies to the conditions in paragraph (a) of this provision, and the Contracting Officer determines in accordance with FAR 22.1003-4(d)(3) that the Service Contract Labor Standards statute—
   * + 1. Will not apply to this offeror, then the Service Contract Labor Standards clause in this solicitation will not be included in any resultant contract to this offeror; or
       2. Will apply to this offeror, then the clause at FAR 52.222-53, Exemption from Application of the Service Contract Labor Standards to Contracts for Certain Services—Requirements, in this solicitation will not be included in any resultant contract awarded to this offer, and the offeror may be provided an opportunity to submit a new offer on that basis.
2. If the offeror does not certify to the conditions in paragraph (a) of this provision—
   * + 1. The clause of this solicitation at 52.222-53, Exemption from Application of the Service Contract Labor Standards to Contracts for Certain Services—Requirements, will not be included in any resultant contract to this offeror; and
       2. The offeror shall notify the Contracting Officer as soon as possible if the Contracting Officer did not attach a Service Contract Labor Standards wage determination to the solicitation.
3. The Contracting Officer may not make an award to the offeror, if the offeror fails to execute the certification in paragraph (a) of this provision or to contact the Contracting Officer as required in paragraph (c) of this provision.

(End of provision)

### 52.225-2 BUY AMERICAN CERTIFICATE (MAY 2014)

Offeror certifies that each end product provided, except those listed below, is a U.S. domestic or designated country end product and that for other than COTS items, the supplier has considered components of unknown origin to have been mined, produced, or manufactured outside the United States.

## **Non-U.S. Domestic/Non-Designated Country End Products:**

|  |  |
| --- | --- |
| **Item Number/Desc** | **Country of Origin** |
|  |  |
|  |  |
|  |  |

(End of provision)

### 52.225-4 BUY AMERICAN – FREE TRADE AGREEMENTS – ISRAELI TRADE CERTIFICATE (FEB 2021)

### Offeror certifies that the following are Free Trade Agreement country end products (other than Bahraini, Moroccan, Omani, or Peruvian end products) or Israeli end products as defined in the clause 52.225-4 “Buy American Act – Free Trade Agreements – Israeli Trade Act Certificate”

### Free Trade Agreement Country or Israeli End Products:

|  |  |
| --- | --- |
| **Item Number/Desc** | **Country of Origin** |
|  |  |
|  |  |
|  |  |

(End of provision)

**52.225-6 TRADE AGREEMENTS CERTIFICATE**

Offeror certifiesthat each end-product provided, except those listed below, is a U.S.-made or designated country end product, as defined in the clause the clause 52.225-6 “Trade Agreements.”

### Other End Products:

|  |  |
| --- | --- |
| **Item Number/Desc** | **Country of Origin** |
|  |  |
|  |  |
|  |  |

(End of provision)

**52.226-2 HISTORICALLY BLACK COLLEGE OR UNIVERSITY AND MINORITY INSITUTION REPRESENTATION (OCT 2014)**

1. Definitions. As used in this provision—
   1. “Historically black college or university” means an institution determined by the Secretary of Education to meet the requirements of 34 CFR 608.2.
   2. “Minority institution” means an institution of higher education meeting the requirements of Section 365(3) of the Higher Education Act of 1965 (20 U.S.C. 1067k), including a Hispanic-serving institution of higher education, as defined in Section 502(a) of the Act (20 U.S.C. 1101a).
2. Representation. The offeror represents that it—

**[IS/  IS NOT]** a historically black college or university;

**[IS/  IS NOT]** a minority institution.

(End of provision)

**52.227-6 ROYALTY INFORMATION (APR 1984)**

1. Cost or charges for royalties. When the response to this solicitation contains costs or charges for royalties totaling more than $250, the following information shall be included in the response relating to each separate item of royalty or license fee:
   * + 1. Name and address of licensor.
       2. Date of license agreement.
       3. Patent numbers, patent application serial numbers, or other basis on which the royalty is payable.
       4. Brief description, including any part or model numbers of each contract item or component on which the royalty is payable.
       5. Percentage or dollar rate of royalty per unit.
       6. Unit price of contract item.
       7. Number of units.
       8. Total dollar amount of royalties.
2. Copies of current licenses. In addition, if specifically requested by the Contracting Officer before execution of the contract, the offeror shall furnish a copy of the current license agreement and an identification of applicable claims of specific patents.

(End of provision)

**52.227-15 REPRESENTATION OF LIMITED RIGHTS DATA AND RESTRICTED COMPUTER SOFTWARE (DEC 2007)**

1. This solicitation sets forth the Government’s know delivery requirements for data (as defined in the clause at 52.227-14, Rights in Data—General). Any resulting contract may also provide the Government the option to order additional data under the Additional Data Requirements clause at 52.227-16, if included in the contract. Any data delivered under the resulting contract will be subject to the Rights in Data—General clause at 52.227-14 included in this contract. Under the latter clause, a Contractor may withhold from delivery data that qualify as limited rights data or restricted computer software, and deliver form, fit, and function data instead. The latter clause may also be used with its Alternates II and/or III to obtain delivery of limited rights or restricted computer software, marked with limited rights or restricted rights notices, as appropriate. In addition, use of Alternate V with this latter clause provides the Government the right to inspect such data at the Contractor’s facility.
2. By completing the remainder of this paragraph, the Offeror represents that it has reviewed the requirements for the delivery of technical data or computer software and states (Offeror check appropriate box):
   * + 1. None of the data proposed for fulfilling the data delivery requirements qualifies as limited rights data or restricted computer software; or
       2. Data proposed for fulfilling the data delivery requirements qualify as limited rights data or restricted computer software and are identified as follows: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. Any identification of limited rights data or restricted computer software in the Offeror’s response is not determinative of the status of the data should a contract be awarded to the Offeror.

(End of provision)

**52.230-7****Proposal Disclosure—Cost Accounting Practice Changes (Apr 2005)**

The offeror shall check “yes” below if the contract award will result in a required or unilateral change in cost accounting practice, including unilateral changes requested to be desirable changes.

**Yes  No**

If the offeror checked “Yes” above, the offeror shall—

1. Prepare the price proposal in response to the solicitation using the changed practice for the period of performance for which the practice will be used; and
2. Submit a description of the changed cost accounting practice to the Contracting Officer and the Cognizant Federal Agency Official as pricing support for the proposal.

(End of provision)

* + 1. **GOVERNMENT PROPERTY (SEP 2021)**

1. Does the Offeror have an approved Government Property Management System?

**Yes  No**

1. Provide the name of your company’s Government/Customer Property Administrator or the name and title of official authorized to obligate your company on Government/Customer Property matters.

Name:

Title:

Telephone No.

Email:

(End of provision)

**CONFLICT MINERAL CERTIFICATION**

Crown Point Systems expects its suppliers and their Indirect Suppliers, where they supply products containing Tantalum, Tin, Gold, or Tungsten, to be in full compliance with the US Conflict Minerals Law.

Has your company or will your company ever provide any products that contain metals, even in trace amounts (i.e.tin, tungsten, tantalum, and gold.)

**Yes  No**

(End of provision)

|  |
| --- |
| **EXPORT/IMPORT/TRADE COMPLIANCE CERTIFICATIONS** |

1. **Supplier Export/Import Compliance Point of Contact**

The person below is authorized to discuss/verify/confirm the export compliance requirements associated with this certification.

|  |  |  |  |
| --- | --- | --- | --- |
| **Name:** |  | **Title:** |  |
| **Email:** |  | **Phone:** |  |

1. **Directorate of Defense Trade Controls, U.S. Department of State Registration**
   1. **Supplier’s registration status is as follows:**

|  |  |  |  |
| --- | --- | --- | --- |
| Registered……………………………………… | Expiration Date: |  | (MM/DD/YY) Continue to C. |
| Note: This certification is not valid if your registration is expired at the time this certification is completed. DDTC Registration Expiration should always be the last day of the month. | | | |
| New Application in Progress ............ | Application Date: |  | (MM/DD/YY) Continue to C. |
| Renewal Application in Progress ...... | Application Date: |  | (MM/DD/YY) Continue to C. |
| Not Registered………………………………. | If Not registered, Complete 2 below before continuing to C. | | |
|  |  | | |

* 1. **Supplier is not required to be registered with the U.S. Department of State for the following reason:**
     1. Supplier provides/manufactures products that are subject exclusively to the controls of the Export Administration Regulation (EAR), classified under the following Export Control Number(s) (ECCN(s)):

* + 1. Supplier activities as a distributor/reseller have not and do not involve the manufacture or export of defense articles. Supplier is a non-US company and is not subject to US export regulation including registration requirements placed on foreign persons acting in certain brokering capacities.
    2. Supplier’s service activities involving Defense Articles but do not include Brazing, Boring Out Housings or Assembly (As these services do constitute the Manufacture of Defense Articles and do require Manufacturer Registration).
    3. Supplier is a Service Provider or Consultant to Crown Point Systems, providing other business services. None of the aforementioned options apply to our service agreement with Crown Point Systems.

1. **International Export/Import Compliance (International Traffic in Arms Regulations 22 CFR §120-130)**

Supplier  **DOES  DOES NOT** employ persons or use contract labor or consultants with citizenship of a country other than the country of Supplier incorporation. If so, list country(s):

Supplier  **DOES  DOES NOT** perform work at non-U.S. facilities, affiliates or subsidiaries with an address in a country other than the country of Supplier incorporation for Crown Point Systems transactions. If so, list country(s):

A third party who is a non-U.S. company or who will utilize non-U.S. facilities or non-U.S. persons

**WILL  WILL NOT** be involved in some way on some Crown Point Systems transaction. If so, list country(s):

Supplier certification includes other Supplier addresses or alternate locations that adhere to Supplier location’s procedures, as listed below:

|  |
| --- |
| **Full address of each facility** |
|  |
|  |
|  |

The Subcontractor has completed these annual representations and certifications and verifies that these representations and certifications are current, accurate and complete as of the date below.

**Subcontractor**

Signature Company Name

Printed Name CAGE Code

Title Unique Entity Identifier (UEI) Number

Date Telephone